

# Octoral examination regulations of the Faculty of Arts, Friedrich Schiller University Jena as at 3 March 2022

In accordance with section 3 subsection 1 in conjunction with section 38 subsection 3 of the Thuringian Higher Education Act (Thüringer Hochschulgesetz, ThürHG) from 10 May 2018 (published in the journal of legal notices of the Federal State of Thuringia GVBI., p. 149), last amended by Article 7 of the Act of 23 March 2021 (GVBI. S. 115, 118), the Friedrich Schiller University Jena issues the present Doctoral Examination Regulations of the Faculty of Arts. The regulations were approved by the Faculty Council on 25 January 2022. The regulations were given consent from the Senate on 3 May 2022. The president authorized the regulations on 3 May 2022.

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## I. Right to confer doctoral degree

§ 1

- (1) <sup>1</sup>The University, represented by the Faculty of Arts, confers the doctoral degree "doctor philosophiae (Dr. phil.)". <sup>2</sup>The doctoral degree may be used as an abbreviation, i.e. "Dr.".
- (2) Represented by the Faculty, the University can also confer an honorary doctorate ("doctor honoris causa", Dr. phil. h.c.).
- (3) The joint doctoral programme with the Institute of Musicology Weimar–Jena is regulated by separate regulations.

§ 2

- (1) The conferment of a doctoral degree shall serve as a certification of the competence to perform advanced scientific work in one of the research (sub)disciplines ("Promotionsfächer") listed in the Appendix 2.
- (2) Except in the case of an honorary degree, the certification will be granted upon successful submission of a written scientific thesis ("Dissertation") in accordance with section 8 and upon an oral examination in accordance with section 9.

## II. Admission requirements

- (1) <sup>1</sup>Admission to a doctoral programme usually requires a successful completion of the following degrees: *Diplom* degree, *Magister* degree, state examination ("Staatsexamen"), or a master's degree at a university or a university of applied sciences in the field in which the doctorate is to be pursued. <sup>2</sup>The overall final mark must be at least "good". <sup>3</sup>Upon justified request, for example, if the supervisor provides a positive statement on the subject-related requirements of the applicant, the faculty council may allow exceptions to the provisions in sentence 2. <sup>4</sup>Other subject-specific admission requirements for a doctoral programme are determined by the Appendix 1 in these doctoral examination regulations.
- (2) Degrees earned at institutions of higher education abroad may be recognized if they are considered equivalent to the degrees mentioned in subsection 1. The equivalence shall be reviewed by the dean, based specifically on the agreement on equivalence approved by the Standing Conference of the Ministers of Education and Cultural Affairs of the Länder in the Federal Republic of Germany (Kultusministerkonferenz, KMK) and the German Rectors' Conference (Hochschulrektorenkonferenz, HRK).



- (3) Applicants who do not meet the regular requirements in section 1 must undergo an individual assessment of their study achievements; if applicable, additional course work and examinations may be demanded in accordance with subsection 6.
- (4) ¹Particularly qualified graduates of bachelor's programmes at institutions of higher education may be admitted to a doctoral examination procedure if they provide proof of the qualification required for scientific work within the given research (sub)discipline. ²As a general rule, applicants must achieve at least a final overall mark "very good" and provide positive statement of two subject-specific reviewers, one of them being the supervisor. ³Subsection 3 shall apply accordingly.
- (5) In order to be admitted to a doctoral programme at the Faculty, applicants must possess knowledge of at least two foreign languages. As a rule, these language skills must be acquired within a at least 4-year-long education and correspond to the B1 level of the European Framework of Reference for Languages. Applicants must provide proof of language skills, i.e. a secondary school leaving certificate or an internationally recognized language certificate. The Appendix 1 of the regulations determines further subject-specific language requirements for some disciplines.
- (6) 'Upon agreement with the representatives of particular disciplines, the faculty council may admit applicants by issuing additional requirements. <sup>2</sup>The additional requirements must be proven as met by the opening of the doctoral examination procedure. <sup>3</sup>The additional requirements must be specified in the letter of acceptance in accordance with section 4 subsection 8 and must be met within four semesters. <sup>4</sup>The additional requirements are also considered fulfilled if the applicants complete one of the recognized doctoral programmes of the Graduate Academy being supported by the supervisors, university teachers, associate professors serving in a temporary capacity, or by the leaders of junior research groups of the Faculty. <sup>5</sup>The supervisor should be supportive of doctoral candidates' efforts to fulfil the additional requirements. <sup>6</sup>Further details are regulated by the supervision agreement. <sup>7</sup>The required proof of language skills shall remain unaffected.
- (7) As a general rule, applicants cannot be admitted if they already applied for a doctoral programme within the same discipline elsewhere or failed any other doctoral examination procedure.



#### III. Admission as a doctoral candidate and supervision

§ 4

- (1) Anyone who meets the admission requirements as defined in section 3 shall apply for admission as a doctoral candidate at the Faculty before starting to work on a doctoral thesis project. As a rule, the application for admission shall be submitted via the online portal of the Graduate Academy of Jena University. In their application, applicants shall provide the topic of their doctoral thesis project and the research (sub)discipline. The application shall comprise the following supporting documents:
  - 1. the supporting documents regarding the admission requirements as defined in section 3; copies of final certificates, including marks (Applicants who earned their degree at other universities must provide officially certified copies.);
  - 2. the supervision agreement in accordance with subsection 5;
  - 3. an up-to-date CV presenting personal and professional development;
  - 4. a declaration of all existing or previous applications for admission as a doctoral candidate each of which should include the date and topic of the application, the name of the given faculty, and the information whether a doctoral examination procedure has been initiated or is already completed. If the applicant already earned a doctorate, a copy of the doctoral degree certificate shall be attached, too.

<sup>6</sup>If the applicants are not members of the University, they must prove their identity with their ID.

- (2) The admission is only possible if, firstly, at least one research supervisor has assured the supervision of the doctoral thesis project; secondly, if the equipment required to conduct the project has been ensured; thirdly, if the Faculty is able to assess the completed doctoral thesis as a scientific paper.
- (3) 'Supervisors may be professors, "Privatdozenten" (lecturers with habilitation), or leaders of junior research groups if they are members of the Faculty. 'Leaders of junior research groups may only be supervisors if their scientific competence was confirmed by an external scientific assessment procedure and the quality criteria of the assessment are accepted by the Research Committee of the Senate. 'In case of interdisciplinary doctoral thesis projects in which other faculties are engaged, too, members of those faculties are eligible to supervise doctoral thesis projects. 'In addition, also persons who transferred from the University to another institution are eligible to supervise doctoral thesis projects within two years after the transfer; upon request, the Faculty may extend this period. 'In justified cases, the faculty council may allow the doctoral thesis projects to be supervised by professors already retired and by "Privatdozenten" (lecturers with habilitation) if they are associates of the University.



- (4) ¹If the supervision is conducted in cooperation with other scientific institutions, in particular institutions of higher education and non-university research institutions, additional persons may also be supervisors who have equivalent scientific qualifications as those defined in subsection 3. ²As a rule, the collaboration is based on a respective agreement between the University and the cooperating institution. ³In such cases, at least one supervisor must be a member of the Faculty. ⁴Reasonable exceptions to this rule are possible, however, only when the faculty council grants its approval.
- (5) <sup>1</sup>The supervision relationship shall be based on a supervision agreement between academic supervisors and the doctoral candidate. <sup>2</sup>Among other aspects, the supervision agreement shall specify:
  - the responsibility of the doctoral candidates to report to their supervisors regularly on the progress of their doctoral thesis project;
  - the responsibility of the supervisors to ask for the doctoral candidates' regular progress reports on the doctoral thesis project;
  - if applicable: the type of the cooperation in accordance with section 4;
  - the type of the doctoral thesis project planned (monograph/cumulative doctoral thesis);
  - if applicable: participation in a doctoral programme.
- (6) <sup>1</sup>The supervision relationship may be terminated by both parties at any time, respectively, providing reasons or upon prior mutual agreement. <sup>2</sup>If the supervision relationship has to be terminated due to reasons for which the doctoral candidate cannot be responsible, the Faculty must make any effort to enable the doctoral candidate another supervision.
- (7) The admission does not guarantee any legal rights to open the doctoral examination procedure.
- (8) <sup>1</sup>As a rule, it is the dean who decides on the application within two months. <sup>2</sup>The applicant shall be informed about the decision on the application in writing. <sup>3</sup>A rejection of the application must also provide valid reasons for the rejection and information on legal remedies. <sup>4</sup>The letter of admission shall specify the field of the doctoral research project, the topic, and the research supervisors as well as any additional requirements demanded as determined in section 3 subsections 3 and 4 and in the Appendix 1 of these regulations.
- (9) <sup>1</sup>Upon request, the doctoral relationship (i.e. doctoral thesis project and status as a doctoral candidate) may be suspended temporarily if the doctoral thesis project cannot be conducted in a reasonable manner due to particular family-related burdens, illness, pregnancy, parental leave, intense care for relatives, due to disabilities, or for any other valid reason. <sup>2</sup>The dean will decide on the request, and will notify the doctoral candidate in writing.



- (10) The doctoral candidate shall inform the faculty about any changes of data having been provided in the application for admission as a doctoral candidate and in the supervision agreement immediately. <sup>2</sup>Upon request, the doctoral candidate must confirm the resumption of the doctoral thesis project yearly. <sup>3</sup>From the fourth year after the admission, a confirmation from the responsible supervisor is required.
- (11) The admission as a doctoral candidate may be revoked if there is no prospect of completing the doctoral thesis project in due time successfully or if the supervision agreement was terminated in accordance with subsection 5. Prior to any decision, the doctoral candidate shall be given the opportunity of a hearing by the faculty council. Furthermore, the doctoral candidate may terminate the doctoral relationship upon written notice.

## IV. Opening of the doctoral examination procedure

- (1) <sup>1</sup>The application for the opening of the doctoral examination procedure shall be submitted to the dean in writing. <sup>2</sup>The candidate must submit the following supporting documents:
  - 1. the confirmation that the additional requirements as defined in section 3 subsections 3 and 6 have been met;
  - 2. four paper-bound copies of the dissertation and one digital copy in PDF format;
  - 3. a declaration in which applicants:
  - 3.1 confirm that they are familiar with the valid doctoral examination regulations;
  - 3.2 confirm that they produced the doctoral thesis project themselves, they neither have taken any text passages from third parties nor their own final theses without citing those; in addition, they also confirm they indicated the tools and sources having been used;
  - 3.3 provide names of the persons who assisted the applicant in selecting and analysing materials, and supported them in writing the manuscript;
  - 3.4 confirm that they did not receive any assistance from specialized consultants and that any third party did not receive either direct or indirect financial benefits from the applicants for the work connected to the doctoral thesis submitted;
  - 3.5 confirm that they have not already submitted the doctoral thesis project as their final thesis for a state examination or other scientific examination;



- 3.6 confirm that they did not submit the same, a substantially similar, or another scientific paper to any other institution of higher education and, if they did it, which mark they achieved;
- 4. an official certificate of good;
- the proof of payment of the submission fee for a doctorate; the fee is determined by the valid version of the General Fees Regulations of the University;
- 6. a CV containing information on their education and scientific progress;
- 7. a list of scientific publications and, where applicable, of scientific (oral) presentations.
- 8. Information on the form of oral examination (section 9 subsection 1).

§ 6

- (1) It is the faculty council which decides on the opening of the doctoral examination procedure; the decision shall be made in the first meeting after the submission of the application. The decision shall be reached by a majority of the council's members holding a doctorate.
- (2) The dean shall inform the applicant about the opening of the doctoral examination procedure in writing.
- (3) The withdrawal of the application for the opening of the procedure is permissible until either the date for the thesis defence has been fixed or the procedures have been closed due to the rejection of the doctoral thesis project.

#### V. Doctoral committee

- (1) <sup>1</sup>The faculty council appoints a doctoral committee which conducts the doctoral examination procedure. <sup>2</sup>As a rule, it shall be composed of two reviewers of the doctoral thesis and a chairperson who must not be a representative of the given (sub)discipline. <sup>3</sup>At least one reviewer must be a member of the Faculty. <sup>4</sup>The committee shall be appointed at the beginning of the opening of the doctoral examination procedure upon prior proposal from the faculty council. <sup>5</sup>If both reviewers mark the doctoral thesis with the mark "summa cum laude", a third reviewer must be appointed by the faculty council.
- (2) <sup>1</sup>The doctoral committee shall decide on the admission and on the mark, or on a rejection of the doctoral thesis in a non-public meeting based on written reviews. <sup>2</sup>It shall conduct the thesis defence, mark the performance during the examination, and suggest the overall final mark.
- (3) All decisions of the doctoral committee shall be put on record.



#### VI. Doctoral thesis

§ 8

- (1) In the doctoral thesis, doctoral candidates are expected to demonstrate their ability to obtain results which serve the development of the discipline on which the doctoral thesis is based.
- (2) <sup>1</sup>The doctoral thesis shall be submitted in four paper-bound copies and one electronic copy as a PDF file. <sup>2</sup>It shall be conducted in German or in English. <sup>3</sup>In justified cases, the faculty council may allow doctoral candidates to write their thesis in other languages, too. <sup>4</sup>If a doctoral thesis is written in other languages, a summary in German shall be attached.
- (3) Instead of a doctoral thesis, doctoral candidates may submit an appropriate number of articles (published in journals or collective monographs) as a written part of the doctoral thesis project provided that doctoral candidates are their first authors or first co-authors. Whether the number of articles is appropriate or not is for the supervisors to decide. The majority of the submitted publications must be either accepted for the publication or already published. Every submitted article submitted to or published in journals or collective monographs must have an appropriate quality undergoing a peer-review procedure. The articles submitted under a summarizing title must include an introduction which contextualize the research topics and the central findings of the publications taking account of the relevant literature and acknowledging the individual contribution and the contributions of other authors, respectively.
- (4) The doctoral thesis shall include: a title page and a short CV outlining the candidate's scientific educational background.
- (5) The dean will send the dissertation to the reviewers who have been appointed in accordance with section 7 subsection 1, and will ask them to submit their review. The reviewers shall evaluate the submitted doctoral thesis in detail and independently from each other, and decide whether it can be accepted as such. If they agree that the doctoral thesis can be accepted, they must give one of the following marks stating it in their reviews:
  - with distinction (summa cum laude)
  - excellent (magna cum laude)
  - good (cum laude)
  - satisfactory (rite).

<sup>4</sup>The assessed copies of the doctoral thesis shall be kept by the reviewers.



- (6) <sup>1</sup>The reviews shall be submitted to the dean not later than two months after the opening of the doctoral examination procedure. <sup>2</sup>Any delays shall be justified. <sup>3</sup>If reviewers are not able to submit their reviews in due time, the faculty council may appoint another reviewer who shall substitute the reviewer in question.
- (7) <sup>1</sup>The dean informs the persons eligible to supervise doctoral thesis projects about the doctoral thesis, including the reviews, being available in the dean's office for three weeks in accordance with section 4 subsection 3. <sup>2</sup>Within the period, the persons notified are eligible to provide their opinion on the given doctoral thesis. <sup>3</sup>After the end of the public disclosure, the chairperson of the doctoral committee ensures that the doctoral procedure is continued.
- (8) ¹If all reviewers suggest the acceptance of the doctoral thesis, the doctoral committee decides on the overall mark of the doctoral thesis based on all reviews submitted. ²Should all reviewers give the same mark, this shall represent the final overall mark of the thesis. ³If the assessments differ from each other, the doctoral committee shall determine the overall mark based on the reviews. ⁴Prior to the decision, the chairperson of the doctoral committee may commission another review, upon prior agreement with the faculty council, if the marks of both reviewers should differ from each other by one mark. ⁵If both reviewers propose the grade "summa cum laude" for the dissertation, a third reviewer shall be appointed by the Faculty Council. ⁵The mark "summa cum laude" may only be given if the marks of all reviewers correspond to it.
- (9) <sup>1</sup>Should one reviewer recommend the rejection of the doctoral thesis, the doctoral committee decides whether to continue the doctoral examination procedure. <sup>2</sup>Upon prior approval of the faculty council, the chairperson may obtain additional reviews. <sup>3</sup>The decision to accept or reject the doctoral thesis following additional reviews shall be made by the doctoral committee taking account of all reviews. <sup>4</sup>If two reviewers reject the thesis, this shall be deemed failed, hence the doctoral examination procedure shall be closed. <sup>5</sup>One copy of the doctoral thesis shall remain in the files of the Faculty. <sup>6</sup>If the doctoral examination procedure is terminated, the dean shall send a written notification to the doctoral candidates which allows them to view the files.
- (10)If the doctoral examination procedure should be continued after the acceptance of the doctoral thesis, the doctoral candidate may view the reviews after the date of the thesis defence has been set.



#### VII. Oral examination

- (1) The oral examination must last between 60 and 90 minutes in form of a colloquium in the given research (sub)discipline or as a public thesis defence. With the application for the opening of the doctoral procedure according to section 5, the applicant is required to specify the desired form of examination (colloquium/disputation), on agreement with the supervisor; in case of conflicts, the Faculty Council shall decide. The thesis defence shall be passed not later than in the semester following the review period.
- (2) ¹In the colloquium, doctoral candidates are expected to present their independent work on central topics of the given (sub)discipline and to demonstrate their knowledge of the state of the art in that area. ²The date of the thesis defence shall be determined by the chairperson of the doctoral committee; the doctoral candidate shall be informed about the date at least 14 days in advance. ³Upon prior agreement with the supervisor, doctoral candidates shall propose two central topics, which may not be related to the given topic of the doctoral thesis, for the colloquium prior to this in a reasonable time. ⁴In the thesis defence, doctoral candidates are expected to present the central results of their doctoral thesis and defend those in the discussion following it.
- (3) <sup>1</sup>The oral examination shall be conducted by the chairperson of the doctoral committee. <sup>2</sup>In the oral examination, at least one reviewer must participate and at least another professor representing the given (sub)discipline. <sup>3</sup>As a rule, only the representatives of disciplines who hold a doctorate are eligible to pose questions at the thesis defence. <sup>3</sup>The chairperson of the doctoral committee shall prepare minutes of the colloquium or the disputation that reveal the course of the examination and the essential questions asked in the discussion.
- (4) 'After the end of the colloquium/thesis defence, the doctoral committee shall decide on the acceptance and the mark of the thesis defence. 'If this is accepted, the committee shall mark it with one of the following marks:
  - with distinction (summa cum laude)
  - excellent (magna cum laude)
  - good (cum laude)
  - sufficient (rite).



- (5) ¹If the oral examination should be considered insufficient and thus rejected, the doctoral candidate may arrange another date of oral examination within a reasonable period in accordance with subsection 2. ²In the colloquium, two new central topics shall be determined. ³If the second oral examination should be rejected, too, the doctoral examination procedure is considered as failed. ⁴The dean shall inform the doctoral candidate in writing accordingly.
- (6) ¹If the doctoral candidate misses the date for the oral examination without an adequate excuse, the examination is deemed to have been failed. ²The same applies if the doctoral candidate terminates the examination without sufficient reason.

#### VIII. Overall final mark of the doctoral thesis

#### § 10

- (1) Regarding the final mark of the doctoral thesis project, the marks as defined in section 9 subsection 4 shall apply.
- (2) <sup>1</sup>The doctoral committee shall determine the overall final mark based on the reviews and the marks given for the thesis defence. <sup>2</sup>If the reviews should differ from each other by more than one mark, the mark of the oral examination should be decisive for the overall final mark. <sup>3</sup>In all other cases, the oral examination shall be weighted appropriately in accordance with section 10 subsection 2 of the General Doctoral Regulations (Allgemeine Bestimmungen für die Promotionsordnungen, ABPO).
- (3) The overall final mark "summa cum laude" may be given if both the doctoral thesis, based on all reviews, and the thesis defence are marked with "summa cum laude".
- (4) The chairperson of the doctoral committee shall inform the faculty council about the recommendation on the overall final mark.

#### IX. Conferment of the doctorate and doctoral degree certificate

- (1) <sup>1</sup>Upon request of the reviewers, the doctoral committee can demand from the doctoral candidate to remove any errors or deficiencies before the publication of the doctoral thesis. <sup>2</sup>It is the dean who shall examine whether the requirements are fulfilled prior to publication.
- (2) If the doctoral examination procedure is terminated as failed, in particularly justified cases, the doctoral candidate may take part in another doctoral examination procedure, but not earlier than



in a year. <sup>2</sup>In such cases, the admission as a doctoral candidate shall be approved by the faculty council.

#### § 12

- (1) <sup>1</sup>Upon recommendation of the doctoral committee, the faculty council is to decide on the doctorate and the overall final mark. <sup>2</sup>With regard to the regulations on temporary working contracts, the doctoral examination procedure is then considered completed. <sup>3</sup>Upon request, the dean may issue a temporary certificate about this decision. <sup>4</sup>This certificate does not entitle to use the doctoral title.
- (2) The dean informs the doctoral candidate about the decision of the doctoral committee and the faculty council in writing as well as about the obligation to publish the doctoral thesis, and about the regulations on the completion of the doctorate if the doctoral examination procedure was passed successfully.

## § 13

- (1) After the acceptance of the doctoral thesis and the successful thesis defence, the doctoral candidate shall publish the doctoral thesis in an appropriate manner and submit the publication in accordance with section 13 subsection 1 sentence 3. If conditions for the correction of flaws have been imposed in accordance with section 11, subsection 1, the dissertation shall be submitted to the dean for approval. Compulsory copies shall be submitted to the Thuringian State and University Library (Thüringer Universitäts- und Landesbibliothek, ThULB) within two years after the completion of the doctoral examination procedure in the following form:
  - a) either eight paper-bound copies in A4 or A5 format, or
  - b) eight printed copies if the doctoral thesis was published in a journal or scientific series, or
  - c) eight printed copies if (1) a commercial publisher will distribute the doctoral thesis, (2) the minimum circulation of 150 copies is given, *or*
  - d) three printed copies and one electronic version; the data format and type of data storage device shall be agreed with the Library in advance.

In the cases a) and d), the doctoral candidate transfers the right to produce and distribute further copies of the doctoral thesis to the University. In the case defined in sentence 3(d), the doctoral candidate shall furthermore transfer the right to the University and the German National Library ("Deutsche Nationalbibliothek") to publish the doctoral thesis in relevant data networks.

6Regarding the latter, ThULB may specify requirements for the submission of the doctoral thesis, in particular technical requirements.

(2) In all cases, the doctoral candidate shall provide proof of the publication accordingly.



(3) Upon request, the dean may extend the submission deadline, as specified in subsection 1 sentence 3.

#### § 14

- (1) When the requirements set in section 13 subsection 1 are met and the obligation to publish the doctoral thesis is fulfilled, the doctoral candidate is conferred a doctoral degree certificate which shall be signed by the president and the dean, and shall include the seal of the University. <sup>2</sup>The date of thesis defence shall be the date of the doctorate.
- (2) <sup>1</sup>By conferring the doctoral degree certificate, the doctoral candidate has the right to use the doctoral title. <sup>2</sup>In derogation of subsection 2, the candidates may be given a temporary consent to use the title before the conferral of the doctoral degree certificate if other requirements are proven. <sup>3</sup>This decision shall be issued by the dean.
- (3) Upon request, the doctoral candidate may receive the doctoral degree certificate in English.
- (4) In case of joint doctorates being part of collaborations in accordance with section 16 of the General Doctoral Regulations, a doctoral degree certificate shall be conferred in accordance with section 19 of the same regulations.

## X. Joint doctoral examination procedure with other institutions of higher education

## § 15

Regarding joint doctoral examination procedures with other institutions of higher education, the provisions as defined in sections 15–19 of the General Doctoral Regulations of the University shall apply accordingly. <sup>2</sup>Any agreements to be concluded in accordance with section 16 subsection 1 between the University and the cooperating institution of higher education require prior approval from the faculty council.

## XI. Deception and revocation of the doctorate

## § 16

(1) The doctoral degree shall be revoked if the doctoral candidate provided supporting documents for admission or during the doctoral examination procedure by deception. The same applies if any facts might be given that would have prevented the conferral of the doctoral degree. Any decision to revoke the doctoral degree shall be accepted by a majority vote of the faculty council's members who hold a doctorate. Prior to the decision, doctoral candidates shall be given the opportunity to be heard.



- (2) If the admission requirements were not met, this being known after the doctoral degree certificate was already conferred, and the applicant did not obtain the status by deception, the lack of requirements shall be considered remedied through successful completion of the doctoral examination procedure.
- (3) In addition to the provisions above, the revocation of the doctorate is subject to the general legal provisions.

## XII. Right of inspection

§ 17

<sup>1</sup>After the completion of the doctoral examination procedure, the doctoral candidates have the right to view the documentation of the procedure. <sup>2</sup>Section 8 subsection 10 remains unaffected.

# XIII. Right of appeal in the doctoral examination procedure

§ 18

- (1) The applicant shall be informed about the decisions on the admission for doctoral studies, on the beginning of the doctoral examination procedure or its rejection, and on the acceptance or rejection of the doctoral thesis, and of the results of the thesis defence in writing. The faculty council and/or the doctoral committee must give the reasoning for each adverse decision, and inform the candidate about their right to legal redress.
- (2) Within a month after the date of notification, the concerned party may file a written appeal to the president of the University. Upon obtaining a statement from the University's Legal Office, the faculty council shall decide on the appeal with a majority vote of its members holding a doctorate. After obtaining the dean's counter-signature, the notification on the objection shall be issued by the president.
- (3) Appeal and the appeal procedure shall be governed by the relevant administrative court procedures. Section 133 of ThürHG shall apply accordingly.

## XIV. Honorary doctorate and reconferring the doctoral degree certificate

§ 19

(1) In recognition of excellent scientific achievements or other special merits, the University may, through the Faculty, in rare cases award honorary doctorates within its disciplines.



- (2) <sup>1</sup>Every professor of the Faculty has the right to nominate candidates for an honorary doctorate ("Dr. phil. h.c."). <sup>2</sup>Upon prior consultation with the faculty council, the dean authorizes two reviewers to evaluate the achievements of the nominee.
- (3) <sup>1</sup>Upon appraisal of all opinions submitted, the faculty council decides on the nomination for an honorary doctorate. <sup>2</sup>A positive decision requires the approval of three thirds of its members who hold a doctorate. <sup>3</sup>Prior to its decision, the Senate shall be given the opportunity to comment.
- (4) As a rule, the president and the dean shall award an honorary doctorate at a celebratory event to which the members of the Senate and the Faculty are invited. The honorary doctorate presents the achievements of the nominee in form of a certificate which is signed by the president and the dean.

#### § 20

- (1) The doctoral degree certificate may be renewed on the 50th anniversary of the date of its initial conferral if this is considered appropriate in light of the scientific merits or the close ties of the recipient with the University.
- (2) The anniversary certificate shall be awarded upon the proposal from the dean and the approval from the faculty council. It should be signed by the president and the dean.

## XV. Mediation with ombudspersons

§ 21

Regarding the mediation with ombudspersons, section 25 of the General Doctoral Regulations of the University shall apply accordingly.

## XVI. Coming into effect and transitional provisions

§ 22

(1) Applicants who were admitted as a doctoral candidate before the present regulations, i.e. based on the Doctoral Examination Regulations of the Faculty of Arts from 19 March 2019 (journal of legal notices of the Friedrich Schiller University Jena, *Verkündungsblatt*; no 3/2019, p. 56), last amended through the first amendment of the regulations on 13 October 2020 (*Verkündungsblatt*, no. 7/2020, p. 155), continue the doctoral procedure according to the regulations valid at the time of admission.



(2) For applicants who have been accepted or supervised as doctoral students at another university, and whose supervisor has recently been appointed to a position at the University, the admission requirements for a doctoral examination procedure and to begin the examination procedure of the original university shall also apply at the University. As a general rule, the doctoral examination procedure is conducted in accordance with the Doctoral Examination Regulations of the Faculty of Arts.

§ 23

<sup>1</sup>These doctoral examination regulations shall come into effect on the first day after their announcement in the journal of legal notices of the University. <sup>2</sup>At the same time, the Doctoral Examination Regulations of the Faculty of Arts from 19 March 2019 (*Verkündungsblatt*, no. 3/2019, p. 56), last amended by the first amendment of the regulations from 13 October 2020 (*Verkündungsblatt*, no. 7/2020, p. 55), cease to be in force on the condition that they remain valid for doctoral candidates who carry out their doctoral procedure in accordance with section 22 subsection 1 as defined in the previous regulations.

Jena, 3 May 2022

Prof. Dr Walter Rosenthal President of the Friedrich Schiller University Jena Prof. Dr Christoph Demmerling Dean of the Faculty of Arts



# Appendix 1: subject-specific admission requirements (language skills)

Field	Language requirements
Ancient history	Latin skills as taught within Latinum courses     Greek skills as taught within     Graecum courses     proficiency in two modern foreign languages
Greek philology	Greek skills as taught within     Graecum courses     Latin skills as taught within Latinum courses     proficiency in two modern foreign languages
Classical archaeology	Latin skills as taught within     Latinum courses or Greek skills as     taught within Graecum courses     proficiency in one modern foreign languages     proficiency in another modern foreign language or skills in one of the languages stated under 1 (Latin or Greek) according to the level mentioned there
Latin philology	<ol> <li>Latin skills as taught within Latinum courses</li> <li>Greek skills as taught within</li> <li>Graecum courses</li> <li>proficiency in two modern foreign languages</li> </ol>
Latin philology in the Middle Ages and the modernity	Latin skills as taught within <i>Latinum</i> courses     proficiency in two modern foreign languages
Romance studies (French, Italian, Romanian, Spanish/Hispanic studies	Latin skills as taught within Latinum courses     The Romance studies supervisor may excempt     the doctoral candidate from this requirement in     justified exceptional cases if Latin has no     relevance to the specific doctoral project.     proficiency in at least two romance     languages (one of those must be French)



# Appendix 2: research disciplines at the Faculty of Arts

(based on the data provided by the Federal Statistical Office)

#### **Humanities**

Humanities (general)

Philosophy

**Ethics** 

Philosophy

Religious studies

Interdisciplinary studies (Language and cultural sciences)

History

**Ancient history** 

Archaeology

History

Medieval and modern history

Prehistory to medieval history

Economic and social history

General and comparative literary studies, general and comparative linguistics

General literary studies

General Linguistics/Indo-European Studies

**Applied linguistics** 

Computational linguistics

Classical philology

Greek studies

Classical philology

Latin

German studies

German as a foreign language

German studies/German

**English and American studies** 

American studies / American cultural studies

**English studies** 

Romance studies

French

Italian

Portuguese

Romance studies (Romance philology, other Romance languages not mentioned above)

Spanish

Slavonic studies

Polish

Russian

Slavonic studies

Southern Slavonic studies (Bulgarian, Serbian/Croatian, Slovene)



#### Czech

Non-European linguistics and cultural studies

Arabic studies

Islamic studies

Caucasian studies

Oriental and ancient oriental studies

Cultural studies (specific)

European ethnology and cultural studies

Cultural anthropology

## **Didactics**

German

English

French

Italian

Spanish

Philosophy

History

Latin

Russian

## Other:

Intercultural Business Communication

History of mathematics and of natural sciences

Art history, arts

Musicology, history of music